

District Office 15 Galileo Street Private Bag 544 Ngaruawahia 3742

Telephone (all hours) 07 824 8633 Call Free 0800 492 452 Fax 07 824 8091 Huntly Area Office 142 Main Street0800 492 452Raglan Area Office 7 Bow Street07 825 8129Tuakau Area Office 2 Dominion Road0800 492 452

Email: publicenquiries@waidc.govt.nz www.waikatodistrict.govt.nz

# Application for Off-Licence or Renewal of Off-Licence

Sections 100 and 127(2), Sale and Supply of Alcohol Act 2012

**To:** The Secretary

District Licensing Committee Waikato District Council Private Bag 544 NGARUAWAHIA 3742

Application for an Off-Licence or renewal of an Off-Licence is made in accordance with the details set out below:

Details of Application		
Type of application (tick box that	applies):	
☐ New Off-Licence	Renewal of Off-Licence	☐ Variation of Off-Licence
Is a licence already held for the	premises or conveyance concerned	d?
☐Yes ☐ No		
If Yes, state kind of licence and I	icence number:	
Endorsements		
Type of endorsement sought of	r sought to be renewed (tick all ap)	propriate boxes):
Auctioneer I	Remote sales	
Details of Applicant		
Full legal name or names to be	on licence:	
Contact person:		Daytime phone:
Email:		
Town:	Post ·	Code:
Status of applicant:		
☐ Natural person	Private company	Public company
Partnership	Limited partnership	Trustee
	organisation or other body; Licensing Tru rument of the Crown; Local Authority; Tru onal and Property Rights Act 1988	

For an applicant that is a natural person or persons (c	omplete for each applicant):	
Full legal name:		1ale 🗌 Female
Also known as:		
Residential address:		
Town:	Post Code:	
Occupation:		
Date of Birth:	Place of Birth:	
Phone:	Email:	
	ode of contact:	
Full legal name:		1ale 🗌 Female
Also known as:		
Residential address:		
Town:	Post Code:	
Occupation:		
Date of Birth:	Place of Birth:	
Phone:	Email:	
Fax: Preferred mo	ode of contact:	
Full legal name:		1ale
Also known as:		
Residential address:		
Town:	Post Code:	
Occupation:		
Date of Birth:	Place of Birth:	
Phone:	Email:	
Fax: Preferred mo	ode of contact:	
Business details: (describe principal business and any other businesses)		
Criminal convictions (state all criminal convictions other than conviction 1998 not contained in Part 6, and offences to which the Criminal Records		Land Transport Act
For a body corporate, authority under which incorporated:		

## Further Details Where Applicant is a Company Date and place of incorporation: **Give full details of each director:** (Use an additional sheet of paper if necessary) Place of Birth Date of Birth Name Address Designation Public Company Only: Give full details of each person who holds 20 percent or more of the shares issued by the company: Name Address Date of Birth Place of Birth Designation Authorised Capital \$\_\_\_\_\_ Paid-up Capital \$ **Private Company Only:** Give full details of each person who holds any shares issued by the company: Place of Birth Name Address Date of Birth Designation Face value of shares held

## Further Details Where Applicant is a Partnership

(attach a copy of the partnership agreement to the application)

Give full details of each partner: (Use an additional sheet of paper if necessary)						
Name	Address	Date of Birth	Place of Birth	Designation		

Details of Premises
Address of premises:
Proposed trading name:
Does the applicant own the proposed licensed premises?
If No, what is the full name and address of the owner:
Full Name:
Address:
Town: Post Code:
Tenure: (freehold, unit title, leasehold or under licence, including term)
Is the licence conditional on completion of building work? Yes No
If Yes, please state details:
Details of Managers
If more than four bar managers are to be employed, give details on a separate sheet of paper
Full name and address:
Manager's certificate number: Expiry date:
Full name and address:
Manager's certificate number: Expiry date:
Full name and address:
Manager's certificate number: Expiry date:
Full name and address:
Manager's certificate number: Expiry date:
Business Details
What is the general nature of the business to be conducted by the applicant if the licence is granted? (eg hotel,
tavern, supermarket, grocery store, retail shop (other than grocery or supermarket), internet sales:

Is the sale of liquor intended to be the principal purpose of the business?
If NO, what is intended to be the principal purpose of the business?
Is the applicant engaged, or intending to be engaged, in the sale or supply of any goods other than liquor and food, or in the provision of any services other than those directly related to the sale or supply of alcohol and food?
☐ Yes ☐ No
If Yes, what are those other goods and services?
On which days and during which hours does the applicant intend to sell alcohol under the license?
On which days and during which hours does the applicant intend to sell alcohol under the licence?
What part (if any) of the premises does the applicant intend should be designated as:
(i) A supervised area
(ii) A restricted area
Conditions
What is the experience and training of the applicant?
What steps are proposed to be taken to prevent the sale and supply of alcohol to prohibited people?
Describe any other steps proposed to promote the responsible consumption of alcohol:

What other systems (including training systems) and staff are in place (or are to be in place) for compliance with
the Act?
Signature
Dated at: Date:
Signature of applicant
Notes
This application must be accompanied by the prescribed fee (see information below).  Within 20 working days after filing this application with the District Licensing Committee (or 10 working days if it is an application for renewal), the applicant must give public notice of it in form 7. The notice must be given in compliance with regulation 36, 37 or 38 of the Sale and Supply of Alcohol Regulations 2013 (whichever applies to this application).
Except in the case of a conveyance, within 10 working days after filing this application with the District Licensing Committee, the applicant must ensure that notice of this application in form 7 is attached in a conspicuous place on or adjacent to the site to which the application relates (unless the Secretary of the District Licensing Committee agrees that it is impracticable or unreasonable to do so).
Office Use Only
Date application received: Application number:
Assigned Fee Category: Fee: Receipt number:

#### USE THIS PAGE TO ENSURE YOU LODGE A COMPLETE APPLICATION.

### NOTE: IF NOT COMPLETE, YOUR APPLICATION MAY BE RETURNED TO YOU.

Atta	chments required to accompany applications
	For a body corporate – a copy of the certificate of incorporation (or equivalent documentation) and full details in the company details section of the application form
	For a partnership – a copy of the partnership agreement and full details of each partner in the partnership details section of the application form
	A map showing the location of the premises within Waikato District
	Photo or artist's impression of outside of the premises
	<ul> <li>Detailed A4 scale floor plan of the interior of the premises showing:</li> <li>those parts of the premises that are to be used for the sale and supply of alcohol.</li> <li>if a grocery store or supermarket the single area (including any sub-areas) required in section 113 of the Act is to be clearly identified.</li> <li>each area to be designated as a supervised or restricted area, and indicating whether supervised or restricted area;</li> <li>the principal entrance or principal entrances</li> </ul>
	A written statement from the owner of the building consenting to the applicant selling alcohol from the premises
	Certificates from Waikato District Council that the proposed use of the premises meets requirements of the Resource Management Act and of the Building Code (forms attached for NEW applications only, fees apply)
	Statement from the building owner that the premises provides and maintains an evacuation scheme as required by section 76 of the Fire and Emergency New Zealand Act 2017, or that because of the building's current use or nature the owner is not required or is exempt from the requirement to provide and maintain such a scheme (see attached form to complete)
	A copy of each manager's certificate and details of the manager's experience
	Details of staff training/staff training plan
	Security plan (including a copy of your incident log and, where appropriate, details of any security company used
	A completed CPTED (Crime Prevention Through Environmental Design) site assessment (see form attached)
	A locality assessment of amenity and good order including identification of potential sensitive sites issues including vandalism, noise, disorder and the impact of the proposed licensed premises on these with any proposed mitigation measures – use separate sheet
	Public Notice - the template for the public notice (newspaper) must be completed and forwarded to the appropriate newspaper by the applicant. Please contact the Council if unsure of which publication to use. Copies of this advertisement/s from the newspaper (whole page of newspaper) must be forwarded to Council as soon as possible after their publication. New applications require two publications.
	Address the section/s of the Local Alcohol Policy that are relevant to your application (NEW AND VARIATIONS ONLY)
	(https://www.waikatodistrict.govt.nz/your-council/plans-policies-and-bylaws/policies)
Grocei	ry Stores
	A verified statement of annual sales revenue required by regulation 12 or 13 (as the case requires) of the Sale and Supply of Alcohol Regulations 2013. The statement is to detail gross sales revenue, excluding GST, of food products, household items, alcohol, tobacco, convenience foods and other revenue (excluding revenue from NZ Lotteries). Note: Food product is defined in Section 33(1) of the Act and convenience food is defined in regulation 3 of the Sale and Supply of Alcohol Regulations 2013.
And at	tachments required to accompany renewal applications
	Copies of existing building and planning certificates (if there have been any changes under the Resource Management Act or Building Code new certificate applications may be required)
	An assessment of the amenity and good order in the locality, any issues caused from the operation of the licensed premises and mitigation measures taken to address these

# CPTED checklist for off-licensed premises

Windows			
There is at least 50% transparency in the front of the premises	Yes	☐ No	□ N/A
There is good visibility to and from the premises and the street	Yes		
Lighting			
Internal lighting inside the premises is suitable	Yes	□ No	□ N/A
Lighting allows customers to be seen as they enter the premises	Yes	No	N/A
Lighting allows staff to check IDs etc	Yes	No	N/A
Lighting outside the premises is suitable	Yes	☐ No	□ N/A
Lighting outside the premises discourages loitering	Yes Yes	☐ No	□ N/A
Car parks and loading bays are well lit	Yes	☐ No	□ N/A
Street lighting is outside the premises and is working properly	Yes	☐ No	□ N/A
Internal Layout			
The cash register is positioned near the main entrance	☐ Yes	☐ No	□ N/A
The cash register area is raised to improve visibility	☐ Yes	□No	□ N/A
Safe is out of public view	☐ Yes	☐ No	□ N/A
No stock displays are greater than 1.3 metres	☐ Yes	☐ No	□ N/A
The entire premises can be seen by the cashier	☐ Yes	☐ No	□ N/A
There is good visibility into cold stores	☐ Yes	☐ No	□ N/A
Where there may be blind spots, mirrors or CCTV are installed	☐ Yes	☐ No	□ N/A
Security			
Doors and windows are reinforced	☐ Yes	□No	□ N/A
Nothing encourages loitering outside the premises (eg notice boards etc)	Yes	 ☐ No	 ☐ N/A
There are no recessed entrances to the premises	 ☐ Yes	 ☐ No	 ☐ N/A
Intruder alarm is installed	 ☐ Yes	 ☐ No	 □ N/A
Alarm is monitored by monitoring centre	Yes		
Panic buttons are linked to intruder alarm	Yes	 ☐ No	 □ N/A
CCTV		_	
CCTV is installed	Yes	□ No	□ N/A
CCTV is positioned to monitor vulnerable areas	Yes	□ No	□ N/A
Customers are aware of the CCTV system	Yes	No	N/A
Staff understand its operation	Yes	☐ No	□ N/A
Staff Security			
There are sufficient numbers of staff to ensure control of the premises	☐ Yes	☐ No	□ N/A
Two or more workers are on duty after dark	☐ Yes	☐ No	□ N/A
Staff are visible to customers upon entering the store	☐ Yes	☐ No	□ N/A
Staff greet/acknowledge customers entering the store	☐ Yes	☐ No	□ N/A
A door buzzer notifies staff of customers entering the store	☐ Yes	□No	□ N/A

#### **Fees**

Fees are based on a "cost/risk rating" of each premises and take into account the type of premises, hours of operation and any enforcement actions in the previous 18 months. A weighting for each of these will produce the rating for the premises as shown below.

Type of premises (off licences)	Weighting
Supermarket, grocery store, bottle store	15
Hotel or tavern with off licence	10
Remote sale premises, other premises not specified elsewhere	5
Winery cellar doors	2

Latest trading time allowed by off licence	Weighting	
I0pm or earlier	0	
Any time after 10pm	3	

Number of enforcements in last 18 months	Weighting
None	0
1	10
2 or more	20

The "cost/risk rating" is the combined total of the weightings for each of the three parameters. To determine the cost/risk rating for your premises add the three weightings applicable to your premises.

#### There are 5 fee categories depending on the total rating:

Cost/Risk rating	Fee Category	Application fee		Annual fee	
		GST Excl	GST Incl	GST Excl	GST Incl
0-2	Very low	\$320	\$368	\$140	\$161
3-5	Low	\$530	\$609.50	\$340	\$391
6-15	Medium	\$710	\$816.50	\$550	\$632.50
16-25	High	\$890	\$1023.50	\$900	\$1035.00
26+	Very high	\$1050	\$1207.50	\$1250	\$1437.50

**Note:** The application fee must be paid at the time of application. For a new licence application, if the application is granted, the annual fee must be paid before the licence will be issued. An invoice will be issued once the decision is made. For a renewal application the annual fee is invoiced at the same time as the application and is payable by the anniversary date of the licence. The final determination of the fee category for the premises is made by the Council.

### **PUBLIC NOTICE**

of application for off-licence

#### Section 101, Sale and Supply of Alcohol Act 2012

(Print clearly the full name, address and occupation of applicant)
has made application to the District Licensing Committee at Ngaruawahia for the issue of an off-licence in respect of the premises situated at
and known as
The general nature of the business to be conducted under the licence is:
(eg hotel, tavern, bottle store, grocery store, supermarket, internet sales)
The days on which and the hours during which alcohol is intended to be sold under the licence are:
The application may be inspected during ordinary office hours at the office of the Waikato District Council, District Licensing Committee, 15 Galileo Street, Ngaruawahia.
Any person who is entitled to object and who wishes to object to the issue of the licence may, not later than 15 working days after the date of the first publication of this notice, file a notice in writing of the objection with the Secretary of the District Licensing Committee at Waikato District Council, Private Bag 544, Ngaruawahia 3742.
No objection to the issue of a licence may be made in relation to a matter other than a matter specified in section $105(1)$ of the Sale and Supply of Alcohol Act 2012.
This is the first publication of this notice.  OR  This is the second publication of this notice. This notice was first published on

#### Notes (Not for publication):

Shaded text – select appropriate text for the first or second advertisement DO NOT use this notice for an application for renewal of a licence. The appropriate notice is forwarded to the applicant with the application for renewal.

## **PUBLIC NOTICE**

## of application for off-licence

## Section 101, Sale and Supply of Alcohol Act 2012

(Print clearly the full name, address and occupation of applicant)
has made application to the District Licensing Committee at Ngaruawahia for an off-licence in respect of the premises situated at
and known as
The general nature of the business conducted under the licence is
(eg hotel, tavern, bottle store, grocery store, supermarket, internet sales)
The days on which and the hours during which alcohol is sold under the licence are:
The application may be inspected during ordinary office hours at the office of the Waikato District Council, District Licensing Committee, 15 Galileo Street, Ngaruawahia.
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No objection to the issue of a licence may be made in relation to a matter other than a matter specified in section $105(1)$ of the Sale and Supply of Alcohol Act 2012.
This notice was first published in the
on

# APPLICATION FOR LICENCE ISSUED UNDER THE PROVISIONS OF THE SALE AND SUPPLY OF ALCOHOL ACT 2012

#### **IMPORTANT**

# THIS FORM MUST BE COMPLETED AND RETURNED WITH YOUR LICENCE APPLICATION

To enable the District Licensing Committee to process your licence application it must be accompanied by a statement that either:

- The building in which the premises are situated has an evacuation scheme for public safety which meets the requirements of the Fire and Emergency New Zealand Act 2017; or
- The building, by reason of its current use, does not require such a scheme, or that the building is exempt from having to meet the requirements for such a scheme.

CTATEMENT TO BE COMPLETED BY ARRUGANT				
317	TEMENT TO BE COMPLETED BY APPLICANT			
Premises:	••••••			
Applicant:				
<b>Statement</b> (either):				
The building in which the premises are situated has an evacuation scheme for public safety which meets the requirements of the Fire and Emergency New Zealand Act 2017; or				
The building, by reason of its current use, does not require such a scheme, or the building is exempt from having to meet the requirements for such a scheme.				
(Cross out option that does not apply)				
Signed:	Date:			
Position:	······································			
Alternatively the applican	at may attach a statement or letter from the New Zealand Fire Service			



District Office 15 Galileo Street Private Bag 544 Ngaruawahia 3742

Telephone (all hours)

Call Free

Fax

07 824 8633 0800 492 452 07 824 8091 Raglan Area Office 7 Bow Street07 825 8129Tuakau Area Office 2 Dominion Road0800 492 452

Huntly Area Office 142 Main Street

Email: publicenquiries@waidc.govt.nz www.waikatodistrict.govt.nz

0800 492 452

# Application for Building Certificate

Sections 100 and 143 Sale and Supply of Alcohol Act 2012

Applicant Details	
Name:	
Postal address:	
Town:	Post Code:
Contact Person:	Daytime Phone:
Email: :	Mobile:
Premises	
Owner:	
Street address:	
Legal description:	Valuation Number:
Application Details	
Is an alcohol licence currently in force for the premises?	☐ Yes ☐ No
If Yes: (i) What type of licence?	Licence number:
(ii) Are any changes proposed to the business oper	ration - eg hours of operation, style of licence?
☐ Yes ☐ No	
Give full details of the proposed business including:	
Description of business operation:	
Maximum number of patrons:	
Is the building required to have a Building Warrant of Fitness	?
If Yes,please attach a copy of the current Building Warrant of	f Fitness

Is building work (including plumbing and drainage work) being undertaken on the premises?   Yes No  If Yes, give details:
Is this application being made for a new building to be constructed or for a building where building work is being or will be carried out under a building consent?    Yes   No
Other details or comments:
S:
Signature  Signature of applicant: Date:
Notes
This application must be accompanied by:
(i) the applicable fee (please check the fee payable from the schedule of fees and charges)
(ii) if a building Warrant of Fitness is required, a copy of the Warrant of Fitness
(iii) if a resource consent has been issued authorising the activity, a copy of the consent
(iv) details of any building work being undertaken and of any building consent in force.
Office Use Only
Date Received: Due Date:
Application number: Property ID:
Application Fee: Receipt Number:



**APPLICANT DETAILS** 

A.I

Email: applications@waidc.govt.nz www.waikatodistrict.govt.nz Telephone (all hours) 07 824 8633 Call Free 0800 492 452

**District Office** 

15 Galileo Street Private Bag 544 Ngaruawahia 3742

## **Application for Planning Certificate**

Sections 100 and 143 Sale and Supply of Alcohol Act 2012

If you are unsure of how to complete this form it is recommended that you engage the services of a suitably qualified person to help you with your application. It is important that you answer all questions in full otherwise your application you may be requested to provided further information. Please note that all the information provided in this application is available to the public and for statistical purposes.

otherwise stated in Section A.11)

(the name of the consent holder who will be responsible for the consent and any associated costs, unless

Full Name						
(please write all names in full)						
OR						
Name of Company Trust/Organisation						
(Please note that if a Trust, all Trustee Names must be included)						
Postal Address						
				Post Cod	de	
Email						
Phone		Mobile pho	one			
How do you wish to receive correspondence? (Please tick)	Post		E-mail			
If you have an agent / spokesperson a	acting on your behalf,	tick here				
and enter their details below in A.2						
Please note: if you appoint an agent, the specifically request otherwise. To reques					ou [	
A.2 APPLICATION SITE DET	TAILS					
Site/Street Address			Town/Loc	ation		
Legal Description (from your Rates Notice or Certificate of Title) Owner						
A.3 APPLICATION DETAILS						
Is an alcohol licence currently in premises?	force for the	Yes		No	[	
If Yes to above, What type of lic	ence is in force?					
Will there be a change to the ty	pe of licence?	Yes		No	[	

If Yes	s to above, What type	of licen	ce is proposed?					
Will t	the area of the buildin ged?	g to be	licensed	Yes		No		]
	If Yes to above, is it increasing or decreasing and by how much (GFA)?							
Will	the ownership change	?		Yes		No		]
A.4	PREVIOUS ADVICE							
Have y	you had any previous advi sal?	ice and/o	r correspondence fr	om Council in regard	ds to your	,	Yes	No
Duty	Planner		Environmental I	Health Officer/Lice	nsing Inspec	tor		
Pre a	pplication PRE /		Name of person	you received advic	ce from			
Сору	of advice and/or correspo	ondence	attached					
<b>A.5</b>	NUMBER OF COPIE	S						
	provide ONE (I) comple				memory stick	or by e	mailing i	t to
	commended that you sep			application/s from ar	ny other type	of applica	ation yo	u may be
Submit	cing to Council (e.g. lique	n ncense	аррисацона).					
A.6	SIGNATURE							
	ing this form, I hereby ce and correct.	rtify that	, to the best of my k	knowledge and belief,	the informati	on given	in this a	pplication
Signed	by Applicant/s				Dated			
	to Agent ing this form, I hereby ce	rtify that						
Dy 31g11 ●	To the best of my know	•		ation given in this app	lication is tru	e and cor	rrect;	
•	I am authorised to subr							
Signed	by Agent				Dated			
Name and Role (Please print)								
<b>A.7 HOW TO LODGE THIS APPLICATION</b> This is a set fee applicable application. Please refer to Council's current schedule of fees. for the current fee. Council's preferred method of payment is internet banking. Your application will not be processed until the required application fee is received								
7.1 If lodging electronically with an Alcohol Licence application: Please provide a complete electronic version of the application, in a separate folder or attachment to your Alcohol Licence application. This may mean providing the same information twice. Please email your applications to applications@waidc.govt.nz								
17.2 If lodging a hard copy with an Alcohol Licence application: Please lodge over the front counter at any service centre. You are required to separate all of the documents required with this application from any other applications you may be making at the time, this may mean you have to provide the same information for two separate applications. Alternatively please use the postal address on the front of this form to send by courier or standard mail.								

A.8 MC	ONEY MATTERS	
<u>Payment</u>	Options – please tick	
Internet B	Banking	
	Payment made via Internet Banking – Use the B Resource Consents, quote your name/client(	ill Payment option for your bank, select <b>Waikato DC</b> s) name as the reference
Date of Payment		
	Payment Advice Information attached	
Cheque		
Council O	offices	
	Payment made at Council Office	Receipt Number
Date of Payment		
Invoice Pa	<b>syment (if applicable)</b> NB your application will n	ot be processed until payment is received

#### **Important Privacy Information**

The information you provided in your application (including personal information) is official information. Your application documents, the details of this consent and any ongoing communications between you and Council will be held at Council's offices and maybe accessed upon request by a third party. Access to information held by Council is administered in accordance with the Local Government Official Information and Meetings Act 1987 and the Privacy Act 1993. Your information may be disclosed in accordance with the terms of these Acts. If you have any concerns about this, please discuss with a Council Planner prior to lodging your application.

## B: Information needed for lodging your application

For your application to be processed, your application must meet the requirements of the Resource Management Act. To assist you with this we have developed a checklist below.

BI:	General Requirements	Applicant	Council Check
		☑	
BI.I	A description of the activity including the existing (if applicable) and proposed hours of operation.		
B1.2	A description of the site at which the activity is to occur		

B2: F	Plans Checklist	Applicant ☑	Council Check  ☑
B2.1	Current copy of <b>Certificate(s) of Title</b> (less than 2 months old) including diagram page, copies of any encumbrances, easements etc, and copies of all legal instruments (e.g consent notices and covenants)		
B2.2	A floor plan showing the layout and uses of the building / tenancy including any outdoor areas. The area(s) of the premises and/or the site where alcohol is to be sold, supplied or consumed must be clearly shown.		
B2.3	A site plan (to an appropriate metric scale of either 1:100 or 1:200) showing (where relevant)		
	(a) A north arrow and the scale		
	(b) Legal and physical roads.		
	(c) The location of the building / tenancy in relation to legal site boundaries.		
	(d) Existing and proposed access points (entrances).		
	(e) Existing and proposed access-ways/right of ways.		
	(f) On-site manoeuvring, and existing and proposed vehicle parking spaces.		
B2.4	If relevant, a copy of the most recent resource consent and / or any previous planning certificates for the premise.		